



**O'NEILL**

SCHOOL OF PUBLIC AND  
ENVIRONMENTAL AFFAIRS

## **H524: Health Industry Regulation**

Spring 2024, Section 7304, M/W 11:30 a.m. to 12:45p.m., PV273

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<b>Office Hours:</b>	T/TH 12 to 2 p.m. or by appointment

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### **Introduction**

Welcome to Health industry Regulation! My name is Julie Carmichael, and I'm excited to be teaching H524 this semester. I am a new member of the O'Neill School faculty and look forward to sharing my broad healthcare background with my students. I hold a Bachelor of Arts in International Relations from Stanford University and a Master of Business Administration from Indiana University.

The syllabus contains detailed information about the course. While I don't want you to feel overwhelmed by the syllabus, it's an important document because it clarifies expectations, learning objectives, assignments, and course policies. It makes sure everyone is on the same page about how our class will operate. Please take time to skim the entire syllabus so you can easily find the information you need as the semester progresses.

## **O'NEILL SCHOOL EXPECTATIONS OF CIVILITY AND PROFESSIONAL CONDUCT**

The O'Neill School takes matters of honesty and integrity seriously because O'Neill is the training ground for future leaders in government, civic organizations, health organizations, and other institutions charged with providing resources for the public, and for members of society who are vulnerable and who are lacking in power and status. Precisely because O'Neill graduates tend to rise to positions of power and responsibility, it is critical that the lessons of honesty and integrity are learned early.

O'Neill requires that all members of its community – students, faculty, and staff – treat others with an attitude of mutual respect both in the classroom and during all academic and nonacademic activities outside the classroom. A student is expected to show respect through behavior that promotes conditions in which all students can learn without interruption or distraction. These behaviors foster an appropriate atmosphere inside and outside the classroom:

- Students are expected to attend class regularly and to be prepared for class.
- Students must be punctual in their arrival to class and be present and attentive for the duration of the class. Eating, sleeping, reading the newspaper, doing work for another class, wandering in and out of the classroom, and packing up or leaving class early are not civil or professional behaviors.
- Students must abide by the course policy regarding use of electronic devices in the classroom.
- Students must responsibly participate in class activities and during team meetings.
- Students must address faculty members, other students, and others appropriately and with respect, whether in person, in writing, or in electronic communications.
- Students must show tolerance and respect for diverse nationalities, religions, races, sexual orientations, and physical abilities.
- Students must not destroy or deface classroom property nor leave litter in the classroom.

### **H524 Description & Learning Objectives**

This course explores how legal, policy, and political considerations influence administrative agencies' regulatory policies in healthcare. Our approach will be to examine various healthcare markets, and the way regulations impact them. During the semester, we will study specific healthcare regulations related to hospitals and healthcare systems, physicians and other health care providers, pharmaceuticals and healthcare products, insurance companies, and other healthcare related organizations.

In addition to considering the impact regulations have on healthcare markets, this course will provide students with an understanding of the core elements of corporate compliance. Students will explore a variety of tools and strategies used in an effective corporate compliance program to protect a healthcare organization and avoid penalties for non-compliance.

For each student, the course will be structured around a healthcare area of your choosing, such as a type of healthcare organization or a specific disease or treatment. At the beginning of the semester, I will meet with each student to help you select a unique focus relevant to your intended career path. By personalizing this course, my goal is to make it relevant and practical to your future.

By the end of the course, students will be able to:

- Articulate why healthcare regulations exist. (SLO 1.1, SLO 1.3, SLO 4.1)
- Describe the regulatory process including how to monitor and influence the process. (SLO 1.1, SLO 1.3, SLO 4.1)
- Analyze various healthcare regulations and explain their impact. (SLO 2.1, SLO 4.1)
- Discuss tools and strategies to create an effective corporate compliance program. (SLO 2.1, SLO 2.2, SLO 4.1)
- Assess basic healthcare compliance issues and challenges. (SLO 1.3, SLO 4.1, SLO 5.3)
- Identify potential areas of compliance vulnerability and risk. (SLO 1.3, SLO 4.1, SLO 5.3)
- Demonstrate a deep understanding of the regulatory implications on your focus area. (SLO 1.1, SLO 1.3, SLO 2.2, SLO 4.1, SLO 5.3, SLO 6.2, SLO 6.3)

This course contributes to and aligns with the following MSHM Program Learning Objectives:

- SLO 1.1: Critique US healthcare system structures, regulations, funding mechanisms and how healthcare services are organized.
- SLO 1.3: Appraise how federal and state healthcare policies inform organizational activities.
- SLO 2.1: Lead the development of key business planning documents, including strategic plans, business service plans, and business cases for new services.
- SLO 2.2: Effectively use risk management principles and programs, such as risk assessment and analysis and risk mitigation.
- SLO 4.1: Articulate ideas, thoughts, recommendations, and other communications clearly, concisely, and persuasively to business audiences and peers.
- SLO 5.3: Collate relevant data and information and analyze and evaluate this information to support or make an effective decision or recommendation.
- SLO 6.2: Network inside and outside of organizations to enable career advancement.
- SLO 6.3: construct and act upon a personal career vision through self-initiative.

### **Required Resources**

Required readings and other materials for this course will be provided on Canvas. Readings and topic schedule are subject to change depending on relevant current events and availability of guest lecturers. The most up-to-date schedule will be reflected on Canvas and communicated in class.

### **Course Requirements and Basis for Final Grade**

Your final grade will be based on two components: class engagement and the Regulatory Portfolio Project.

Class engagement accounts for 30% of your final grade and includes attendance, preparation, and participation. Students begin the semester with full credit for engagement and will be subject to point deductions if attendance, preparation, or participation become problematic. Class engagement scores will be updated in Canvas monthly.

The Regulatory Portfolio Project is worth 70% of your final grade. The goal of the project is for students to demonstrate a deep understanding of the regulatory process and key regulations impacting a student selected focus area. There are multiple graded components to the project which are detailed in a separate document.

Component	% of Course Grade
Class Engagement	30%
Project	70%
<b>Total</b>	<b>100%</b>

### **Final Letter Grade Criteria**

A+	A	A-	B+	B	B-	C+	C	C-	D+	D	D-	F
97%	93%	90%	87%	83%	80%	77%	73%	70%	67%	63%	60%	<60%

*Your final letter grade is assigned by referring to the above chart. You must achieve the shown minimum score to earn that letter grade.*

**Attendance**

Attendance and participation are important given the format of this course. I do not anticipate issues with either and recognize that conflicts and/or illness arise. If you miss more than 20% of the semester (approximately 3 weeks) for medical or personal reasons, it may be best to consider withdrawing from the course until you are able to return and focus on your academic goals.

Additional information about IU's attendance expectations can be found through the Office of Student Life:

[Attendance Issues: Student Care and Resource Center: Student Support: Office of Student Life: Indiana University Bloomington](#)

**Late Withdrawal**

The auto-W deadline is no longer the last day of class as it was during and post-Covid. After the auto-W deadline, withdrawal will be significantly limited, and you will need permission to withdraw and must meet requirements established by O'Neill.

**Accessible Educational Services (AES)**

Every attempt will be made to provide accessibility measures (accommodations) to students with qualifying medical conditions (e.g. mental health, learning, chronic health, physical, hearing, vision, neurological, etc.), under the Americans with Disabilities Act. You must have established your eligibility for support services through Accessible Educational Services for qualifying medical conditions. Note that services are confidential, may take time to put in place, and are forward moving. Captions and alternate media for print materials may take three or more weeks to get produced. Please contact Accessible Educational Services (AES) at 812-855-7578 as soon as possible if accessibility measures are needed. The office is located on the basement floor of Eigenmann Hall, #001.

[Accessible Educational Services: Student Support: Office of Student Life: Indiana University Bloomington](#)

## ACADEMIC MISCONDUCT

Academic misconduct is fully defined at the following link, as part of Indiana University's *Code of Student Rights, Responsibilities, & Conduct*:

[Code of Student Rights, Responsibilities, & Conduct: Policies: University Policies: Indiana University \(iu.edu\)](https://iu.edu/codeofconduct/policies/university-policies)

O'Neill faculty do not tolerate cheating, plagiarism, or any other form of academic dishonesty. If you have not done so, please read the IUB *Code of Student Rights, Responsibilities, and Conduct*, so you understand what these terms mean and what penalties can be issued for academic dishonesty. Academic dishonesty can result in a grade of F for the class (an F for academic dishonesty cannot be removed from the transcript). Significant violations of the Code can result in expulsion from the University.

Any incidence of academic or personal misconduct will result in the maximum sanction, including dismissal from the course with a grade of "F". Grades of "F" due to academic misconduct may not be removed from the student's transcript with IU's grade replacement policy. Violation of any action included in the SPEA Honor Code, or any course policy is included under this Statement. Specific examples include, but are not limited to, making unauthorized copies of any exam or quiz, signing an attendance roster for another student, and submitting false information regarding requests for excused absences.

**Plagiarism** is using another person's words, ideas, artistic creations, or other intellectual property without giving proper credit. According to the *Code of Student Rights, Responsibilities, and Conduct*, a student must give credit to the work of another person when he/she does any of the following:

- a. Quotes another person's actual words, either oral or written;
- b. Paraphrases another person's words, either oral or written;
- c. Uses another person's idea, opinion, or theory; or
- d. Borrows facts, statistics, or other illustrative material, unless the information is common knowledge.

**Academic misconduct** is defined as any activity that tends to undermine the academic integrity of the institution. The University may discipline a student for academic misconduct. Academic misconduct includes cheating, fabrication, plagiarism, interference, violation of course rules and facilitating academic dishonesty.

University policy states that *all* incidents involving academic misconduct *shall* be reported to the Office of Student Ethics. I *will* report any effort to gain an unfair advantage through academic misconduct to the Office of Student Ethics. If you are aware of any incident of academic misconduct, you are required to report such incidents to the Office of Student Life.

[Report Misconduct: Student Conduct: Office of Student Life: Indiana University Bloomington](https://iu.edu/codeofconduct/reporting-misconduct)

### **Use of AI in the Classroom**

Using AI, such as ChatGPT, to assist in completing certain assignments will be allowed in this course. The appropriate use of AI will be discussed in class or included in assignment instructions. If you are unsure whether AI may be used in a given instance, please ask me for guidance.

Inappropriate or unauthorized use of AI is considered plagiarism and is subject to penalties in this class and sanctions by Indiana University.

**Plagiarism** is defined as presenting someone else's work, including the work of other students, as one's own. *Any ideas or materials taken from another source* for either written or oral use *must be fully acknowledged* unless the information is common knowledge. What is considered "common knowledge" may differ from course to course.

<https://studentcode.iu.edu/responsibilities/academic-misconduct.html>

### **Note Selling**

Several commercial services have approached students regarding selling class notes/study guides to their classmates. Selling the instructor's notes/study guides in this course is not permitted. Violations of this policy will be reported to the Dean of Students as academic misconduct (violation of course rules). Sanctions for academic misconduct may include a failing grade on the assignment for which the notes/study guides are being sold, a reduction in your final course grade, or a failing grade in the course, among other possibilities. Additionally, you should know that selling a faculty member's notes/study guides individually or on behalf of one of these services using IU email, or via Canvas may also constitute a violation of IU information technology and IU intellectual property policies; additional consequences may result.

### **Materials**

The faculty member teaching this course holds the exclusive right to distribute, modify, post, and reproduce course materials, including all written materials, study guides, lectures, assignments, exercises, and exams. While you are permitted to take notes on the online materials and lectures posted for this course for your personal use, you are not permitted to re-post in another forum, distribute, or reproduce content from this course without the express written permission of the faculty member. Any violation of this course rule will be reported to the appropriate university offices and officials, including to the Dean of Students as academic misconduct.

## HEALTH AND SAFETY

I want to make sure you connect with resources that will help you be successful. If you receive a message through the Student Engagement Roster that asks you to consult with your advisor, please know that the message is sent to both you and your academic advisor, who will follow up and view the feedback from this course.

### **Counseling and Psychological Services**

For information about services offered to students by CAPS:

<http://healthcenter.indiana.edu/counseling/index.shtml>

### **TimelyCare**

Indiana students have free, 24/7 access to virtual mental health care services with TimelyCare. Students do not need insurance to access TimelyCare services.

<https://www.iu.edu/mental-health/find-resources/timely-care.html#0>

### **Religious Observation**

In accordance with the Office of the Dean of Faculties, any student who wishes to receive an excused absence from class must submit a request form available from the Dean of Faculties for each day to be absent. This form must be presented to the course instructor by the end of the second week of this semester. A separate form must be submitted for each day. The instructor will fill in the bottom section of the form and then return the original to the student. Information about the policy on religious observation can be found at the following website:

<https://policies.iu.edu/policies/aca-59-accommodation-religious-observances/index.html>

### **Disability Services for Students**

Securing accommodations for a student with disabilities is a responsibility shared by the student, the instructor and the DSS Office. For information about support services or accommodations available to students with disabilities, and for the procedures to be followed by students and instructors:

<https://studentaffairs.indiana.edu/student-support/disability-services/index.html>

### **Sexual Harassment**

As your instructor, one of my responsibilities is to help create a safe learning environment on our campus. Title IX and our own Sexual Misconduct policy prohibit sexual misconduct. If you have experienced sexual misconduct, or know someone who has, the University can help.

If you are seeking help and would like to talk to someone confidentially, you can make an appointment with:

1. The Sexual Assault Crisis Service (SACS) at 812-855-8900
2. Counseling and Psychological Services (CAPS) at 812-855-5711
3. Confidential Victim Advocates (CVA) at 812-856-2469
4. IU Health Center at 812-855-4011

For more information about available resources: <http://stopsexualviolence.iu.edu/help/index.html>



It is also important to know that federal regulations and University policy require me to promptly convey any information about potential sexual misconduct known to me to our campus' Deputy Title IX Coordinator or IU's Title IX Coordinator. In that event, they will work with a small number of others on campus to ensure that appropriate measures are taken, and resources are made available to the student who may have been harmed. Protecting a student's privacy is of utmost concern, and all involved will only share information with those that need to know to ensure the University can respond and assist.

I encourage you to visit <http://stopsexualviolence.iu.edu/help/index.html> to learn more.

**Commitment to Diversity: Find your home and community at IU**

**Asian Culture Center**

Address: 807 East Tenth Street, Bloomington, IN 47408

Phone: 812-856-5361

Email: [acc@indiana.edu](mailto:acc@indiana.edu)

Website: <https://asianresource.indiana.edu/index.html>

**First Nations Educational & Cultural Center**

Address: 712 E 8th St., Bloomington, IN 47408

Phone: 812-855-4814

Email: [fnecc@indiana.edu](mailto:fnecc@indiana.edu)

Website: <https://firstnations.indiana.edu/contact/index.html>

**Jewish Culture Center**

Address: 730 E 3rd St., Bloomington, Indiana 47401

Phone: 812-336-3824

Website: <https://iuhillel.org/iu-jewish-culture-center>

**LGBTQ+ Culture Center**

Address: 705 E 7th St., Bloomington, Indiana 47408

Phone: 812-855-4252

Email: [gbltserv@indiana.edu](mailto:gbltserv@indiana.edu)

Website: <https://lgbtq.indiana.edu/contact/index.html>

**La Casa Latino Culture Center**

Address: 715 E 7th St., Bloomington IN, 47408

Phone: 812-855-0174

Email: [lacasa@indiana.edu](mailto:lacasa@indiana.edu)

Website: <https://lacasa.indiana.edu/>

**Neal-Marshall Black Culture Center**

Address: 275 N Jordan Ave Bloomington, Indiana 47405

Phone: 812-855-9271

Email: [nmgrad@indiana.edu](mailto:nmgrad@indiana.edu)

Website: <https://blackculture.indiana.edu/index.html>